



Workshop on Dynamic Analysis, Portland, Oregon, 2003

# *Program Analysis: A Hierarchy*

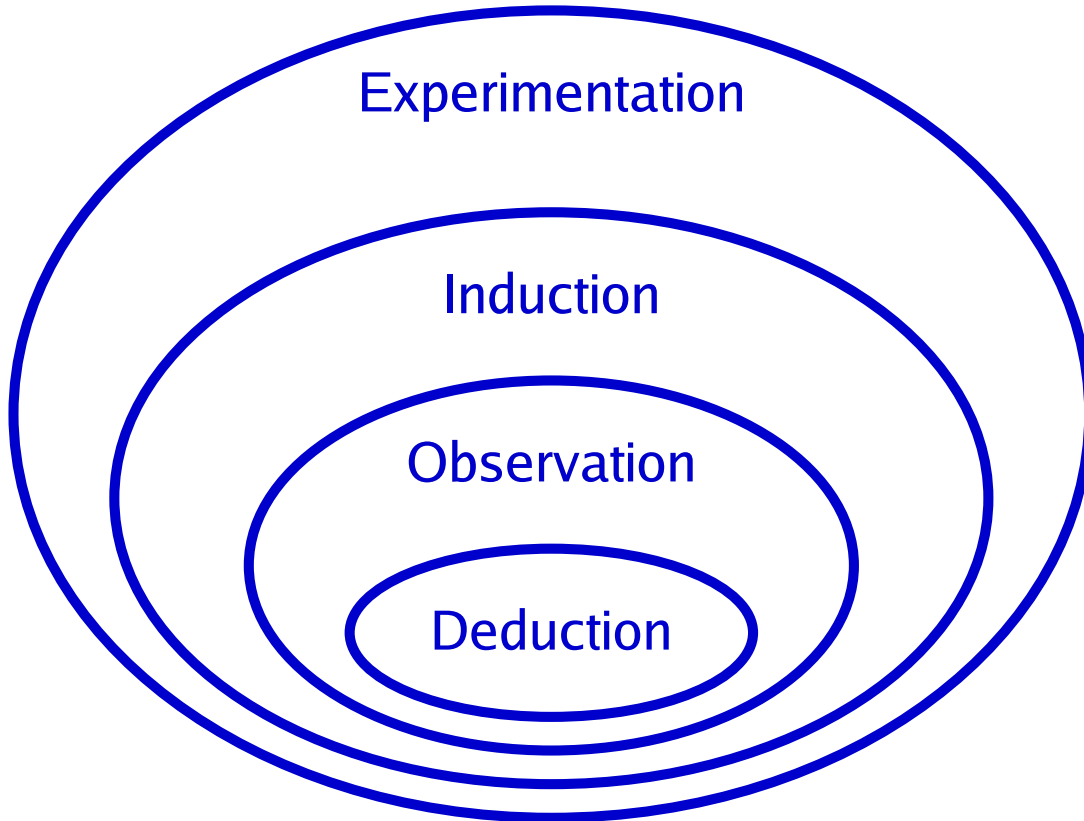
Andreas Zeller

Lehrstuhl Softwaretechnik  
Universität des Saarlandes, Saarbrücken

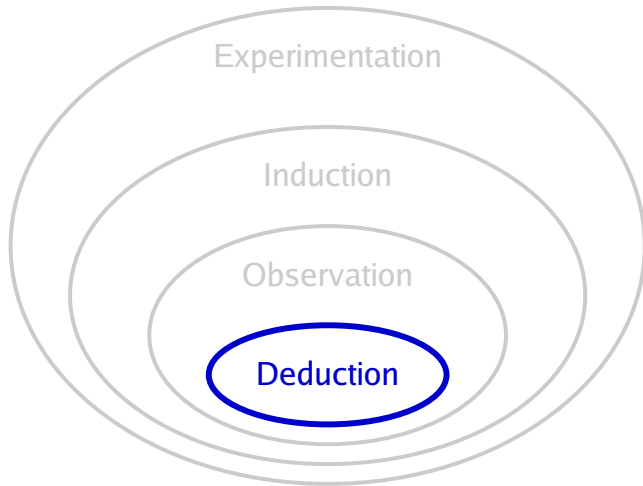


# A Hierarchy of Reasoning

---



# Deductive (static) Program Analysis



**Deduction:** reasoning from from the *general* to the *particular*

- does not execute any programs (hence “static”)
- abstracts from actual runs
- can thus determine properties that hold for *all* runs and *all* embeddings

Traditional domain: logic, *program optimization* in compilers

**Examples:** Control and data flow analysis · symbolic interpretation · *program slicing*





## Example: Program Slicing

---

```
3 char *format = "a = %d";  
4 if (p)  
5     a = compute_value();  
6 sprintf(buf, format, a);
```

Assume we find "a = 0" in buf. What's the cause?





## Example: Program Slicing

---

```
3 char *format = "a = %d";  
4 if (p)  
5     a = compute_value();  
6 sprintf(buf, format, a);
```

Assume we find "a = 0" in `buf`. What's the cause?

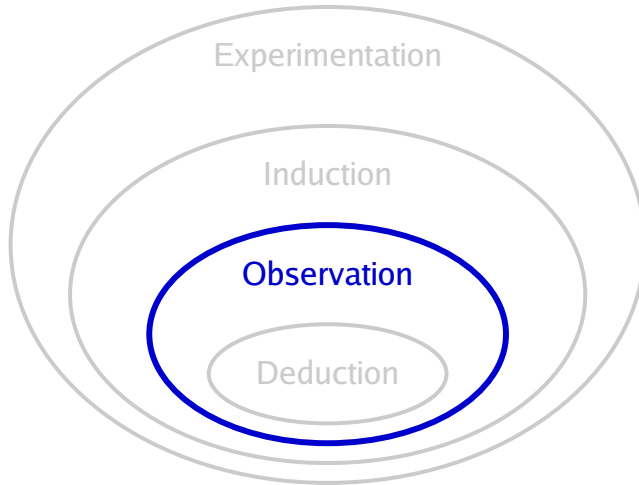
In deductive analysis, two variables are *dependent* on each other if one can affect the other's value:

- `buf` is data dependent on `format` and `a`
- `a` is control dependent on `p` ...

Dependency is undecidable: *conservative approximation*



# Observational Program Analysis



## Observation: finding *facts*

- observes a single run of the program (hence “dynamic”)
- finds *irrefutable facts* about the observed run
- facts hold for observed run only
- can make use of deduction

Traditional domain: *metrics*

**Examples:** Debuggers · coverage tools · *dynamic slicing*





## Example: Dynamic Slicing

---

```
3 char *format = "a = %d";  
4 if (p)  
5     a = compute_value();  
6 sprintf(buf, format, a);
```

Still, we find "a = 0" in `buf`. What's the cause?





## Example: Dynamic Slicing

---

```
3 char *format = "a = %d";  
4 if (p)  
5     a = compute_value();  
6 sprintf(buf, format, a);
```

Still, we find "a = 0" in `buf`. What's the cause?

Assume we also observe that `p` is true. Then, dynamic slicing can deduce that `a`'s value stems from `compute_value()`.



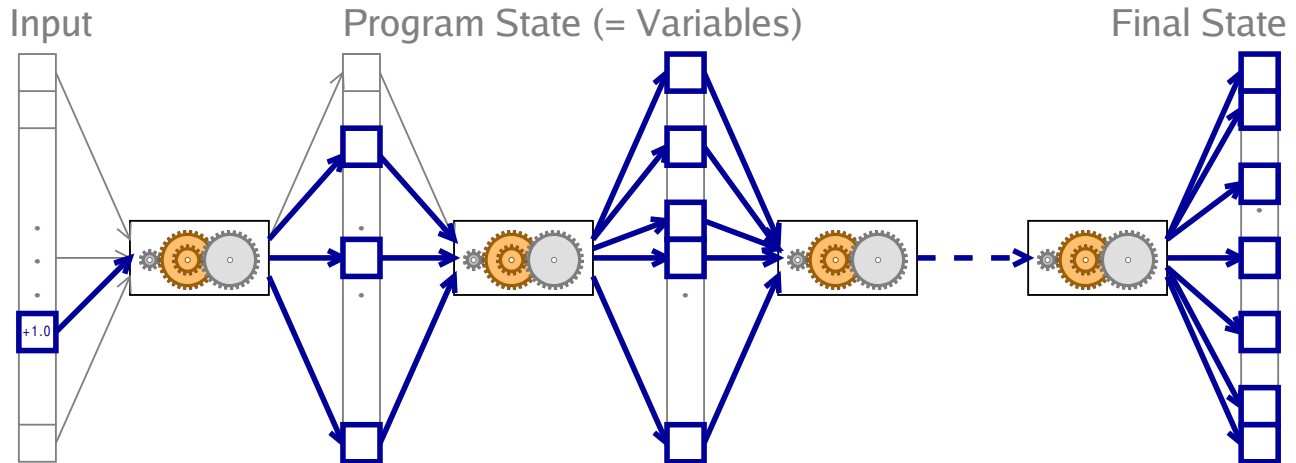


# Observing Time



6/13

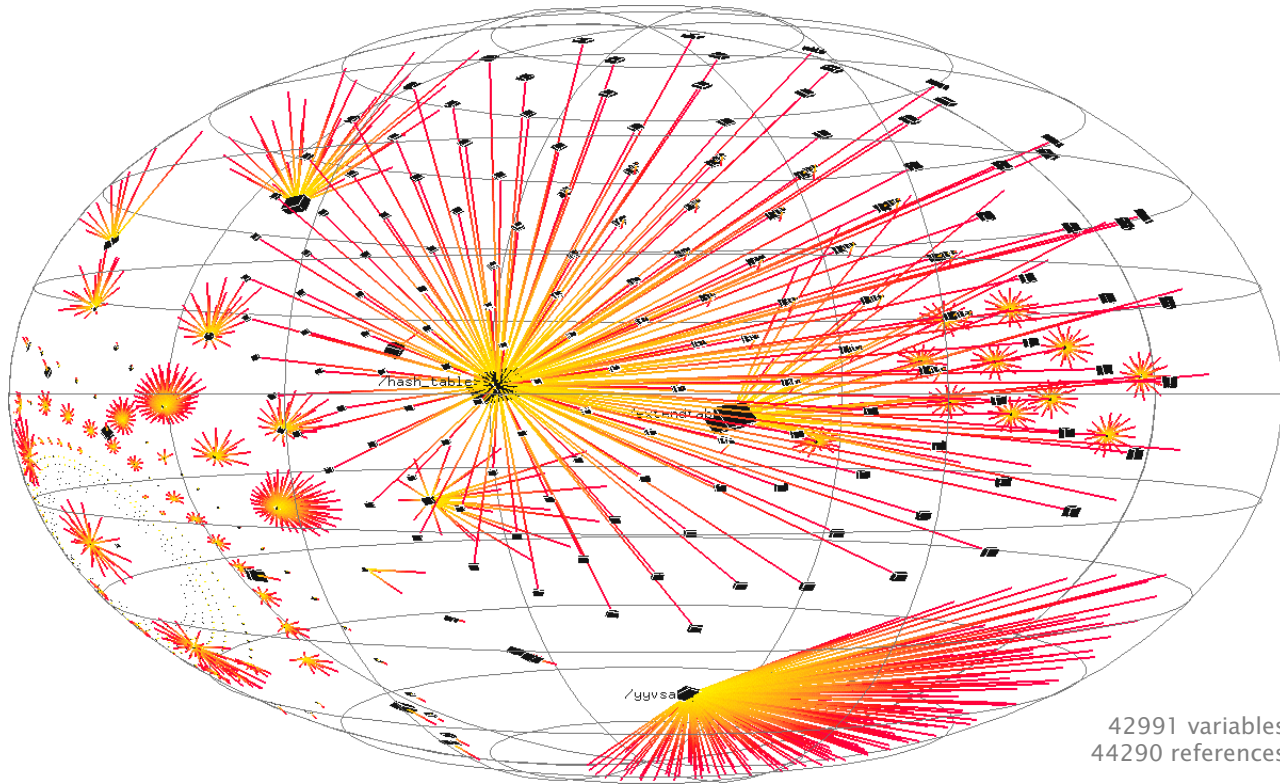
The effects of variable values *accumulate* during execution – the longer the time span observed, the more effects



This “short-sightedness” affects *static* and *dynamic* slicing.



# Observing Space

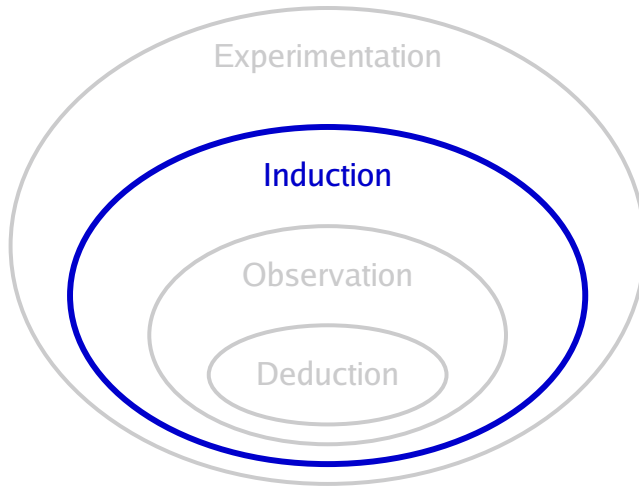


42991 variables  
44290 references

897 variables ( $\leq 2\%$ ) are affected by a change



# Inductive Program Analysis



**Induction:** reasoning from the particular into the abstraction

- observes *multiple runs*
- finds *commonalities* and *anomalies* across runs
- findings hold for observed runs only
- must use observation; can use deduction

Traditional domain: *natural science*

**Examples:** Coverage comparison · relative debugging · *dynamic invariant detection*





## Example: Invariant Detection

---

```
3 char *format = "a = %d";  
4 if (p)  
5     a = compute_value();  
6 sprintf(buf, format, a);
```

We execute the code under several random inputs and flag an error each time `buf` contains "a = 0".





## Example: Invariant Detection

---

```
3 char *format = "a = %d";  
4 if (p)  
5     a = compute_value();  
6 sprintf(buf, format, a);
```

We execute the code under several random inputs and flag an error each time `buf` contains "a = 0".

An invariant detector can then determine that, say,

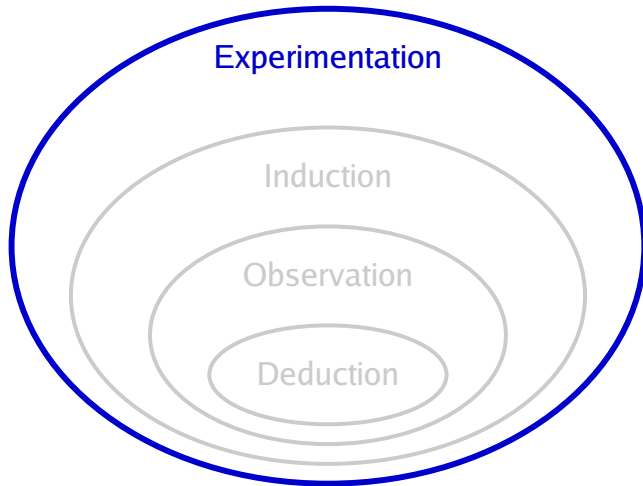
$$a < 2054567 \ || \ a \% 2 == 1$$

holds at line 6 for all runs where the error occurs.

Obviously, something very strange is going on.



# Experimental Program Analysis



**Experimentation:** conducting experiments based on prior findings

- executes and *controls* multiple runs
- narrows down *causes*
- must use observation; can use deduction and induction

Traditional domain: *experimental science*

**Examples:** Delta debugging · *Experiments by humans*





## Example: Experiments

---

```
3 char *format = "a = %d";  
4 if (p)  
5     a = compute_value();  
6 sprintf(buf, format, a);
```

The failure occurs for most values of `a`:  
`a` cannot be the cause for `buf` being "`a = 0`".





## Example: Experiments

---

```
3 char *format = "a = %d";
4 if (p)
5     a = compute_value();
6 sprintf(buf, format, a);
```

The failure occurs for most values of `a`:

`a` cannot be the cause for `buf` being "a = 0".

The only remaining cause is `format`, and indeed:

```
1 double a;
```

Altering `format` to "a = %f" fixes the failure  
(and *proves* that `format` was the failure cause)







## Example: Experiments

---

```
3 char *format = "a = %d";
4 if (p)
5     a = compute_value();
6 sprintf(buf, format, a);
```

The failure occurs for most values of `a`:

`a` cannot be the cause for `buf` being "a = 0".

The only remaining cause is `format`, and indeed:

```
1 double a;
```

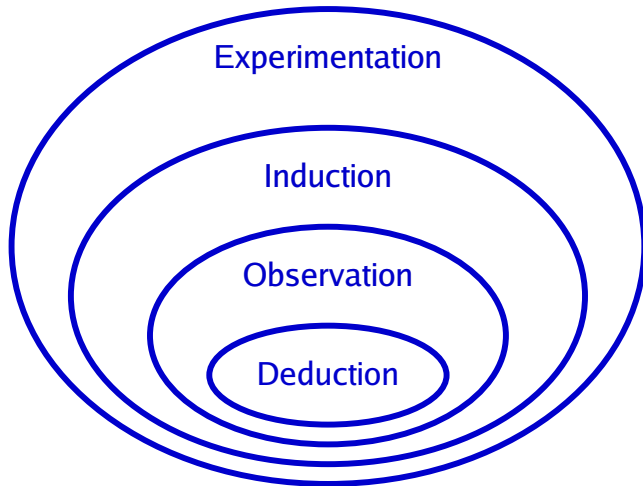
Altering `format` to "a = %f" fixes the failure  
(and *proves* that `format` was the failure cause)

Delta debugging can isolate such causes automatically by  
*narrowing the difference* between a failing and non-failing run.



# Conclusion and Consequences

---

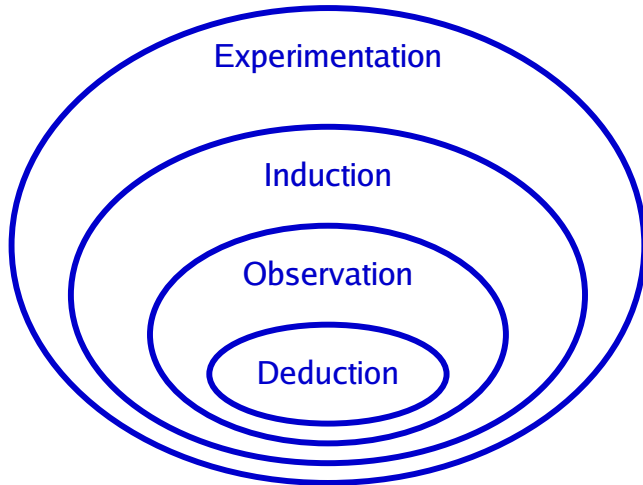


Each class of program analysis

- is *defined* by the # of runs considered (from 0 to  $\infty$ )
- can *use* “inner” classes (but not vice versa)
- is *limited* in its findings by the underlying reasoning technique:



# Conclusion and Consequences



Each class of program analysis

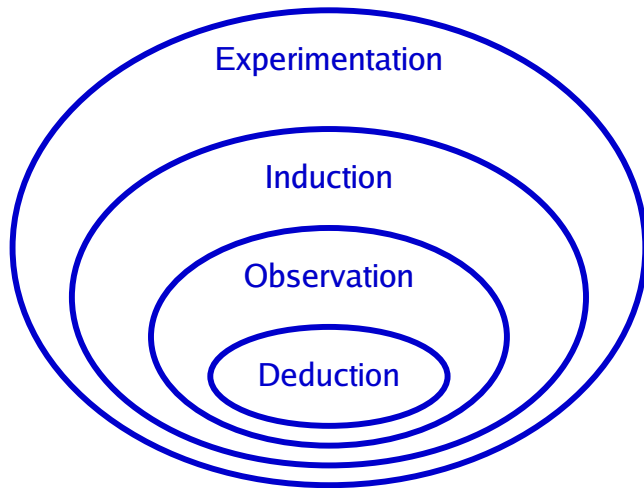
- is *defined* by the # of runs considered (from 0 to  $\infty$ )
- can *use* “inner” classes (but not vice versa)
- is *limited* in its findings by the underlying reasoning technique:

- To determine *causes*, one needs experiments.
- To *summarize* findings, one must induce over  $n$  runs.
- To find *facts*, one needs observation.
- Deduction (surprise?) cannot tell any of these!



# Topics to Talk About

---



- How can we better *leverage* the findings of “inner” classes for “outer” classes?
  - What other *induction* methods (data mining, machine learning, ...) could be used?
  - How can we leverage *experimentation* (e.g. generate runs that satisfy given properties)?
- 
- What are the *practical limits* of the individual classes?
  - What are the typical *uses* of dynamic analysis?
  - **Does this hierarchy make sense?**

