

Constraint-based Testing

Software Engineering
Gordon Fraser • Saarland University



Based on slides by Arnaud Gotlieb & Koushik Sen

Dynamic Symbolic Execution

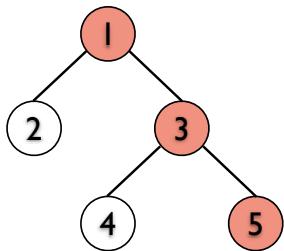


Dynamic Symbolic Execution

- Symbolic execution of a concrete execution
- Also called concolic execution (concrete +symbolic)
- By using input values, feasible paths only are (automatically) selected
- Implemented by instrumenting each statement of P

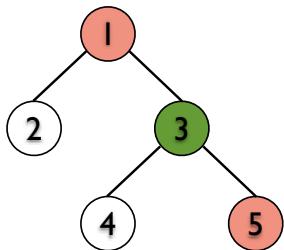
Dynamic symbolic execution combines symbolic execution with concrete execution: The program is instrumented for symbolic execution and then executed with concrete values. The instrumentation collects path conditions and symbolic states along the concrete execution.

Dynamic Symbolic Execution



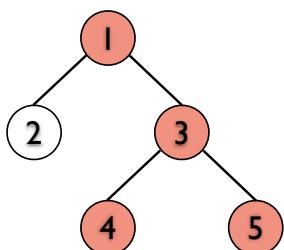
Generate a random input and execute the corresponding feasible path

Dynamic Symbolic Execution

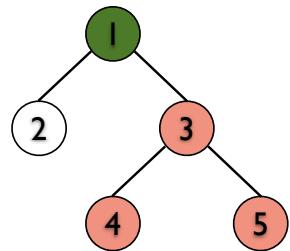


Try to solve the CS where the last constraint is refuted

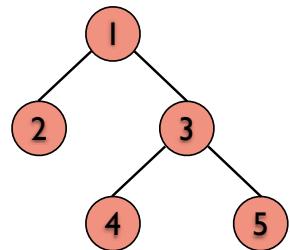
Dynamic Symbolic Execution



Dynamic Symbolic Execution

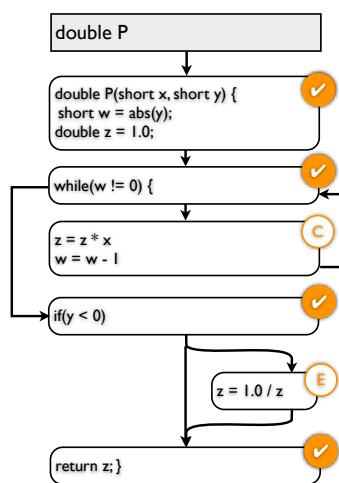


Dynamic Symbolic Execution



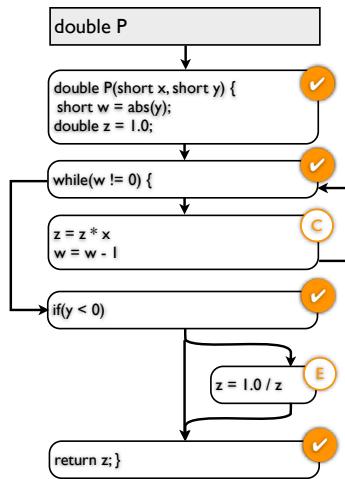
Generate a random input
and execute the
corresponding feasible path

(0,0)



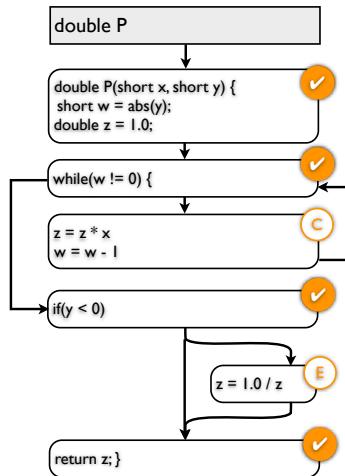
While executing, collect constraints along path taken

(0,0) $\text{abs}(y) = 0$
 $!(y < 0)$



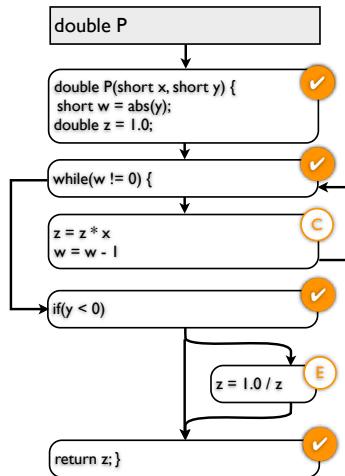
Try to solve the CS where the last constraint is refuted

(0,0) $\text{abs}(y) = 0$
 $(y < 0)$



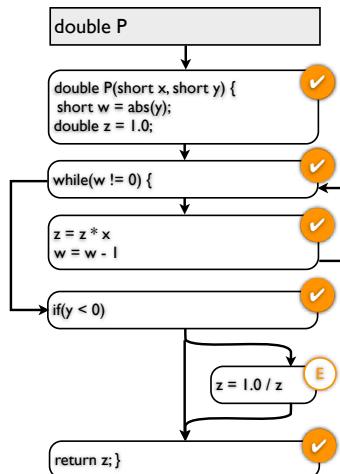
Try to solve the CS where the last constraint is refuted

(0,0) $\text{abs}(y) \neq 0$



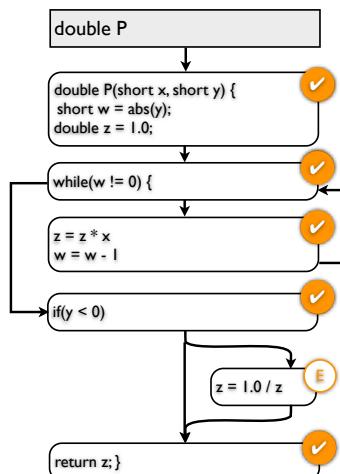
Try to solve the CS where
the last constraint is refuted

$$(0,1) \quad \begin{aligned} & \text{abs}(y) \neq 0 \\ & \text{abs}(y) - 1 = 0 \\ & !(y < 0) \end{aligned}$$



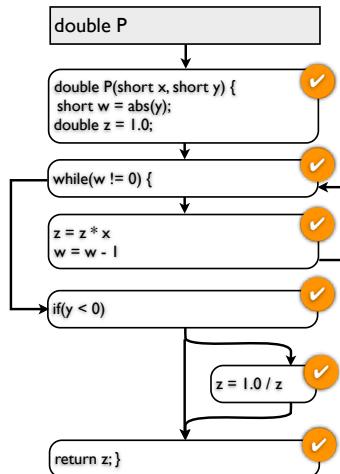
Try to solve the CS where
the last constraint is refuted

$$(0,1) \quad \begin{aligned} & \text{abs}(y) \neq 0 \\ & \text{abs}(y) - 1 = 0 \\ & (y < 0) \end{aligned}$$



Try to solve the CS where
the last constraint is refuted

$$(0,-1) \quad \begin{aligned} & \text{abs}(y) \neq 0 \\ & \text{abs}(y) - 1 = 0 \\ & (y < 0) \end{aligned}$$



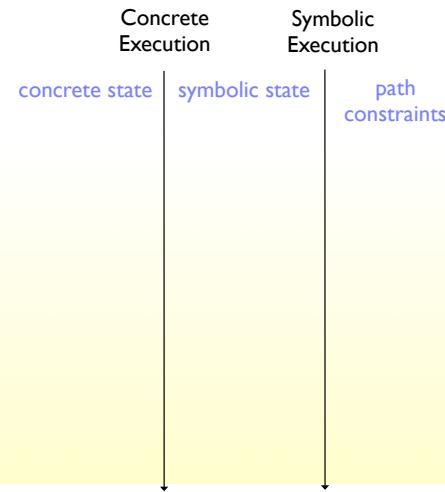
```

typedef struct cell {
    int v;
    struct cell *next;
} cell;

int f(int v) {
    return 2*v + 1;
}

int testme(cell *p, int x) {
    if (x > 0)
        if (p != NULL)
            if (f(x) == p->v)
                if (p->next == p)
                    abort();
    return 0;
}

```



This example illustrates dynamic symbolic execution as done in the tool Cute (<http://osl.cs.uiuc.edu/~ksen/cute/>)

Assume we want to generate test data that reaches the abort statement.

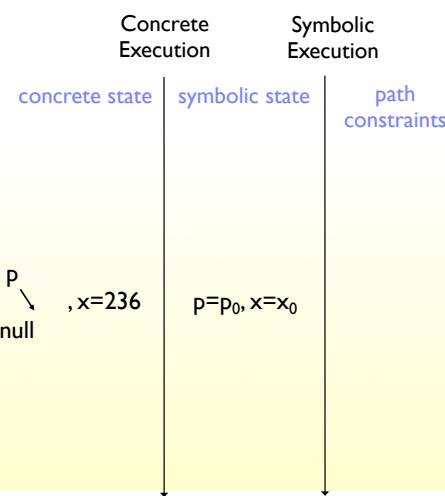
```

typedef struct cell {
    int v;
    struct cell *next;
} cell;

int f(int v) {
    return 2*v + 1;
}

int testme(cell *p, int x) {
    if (x > 0)
        if (p != NULL)
            if (f(x) == p->v)
                if (p->next == p)
                    abort();
    return 0;
}

```



Before the first run:
Pointers are set to null,
ints assigned randomly;
the symbolic state is initialized.

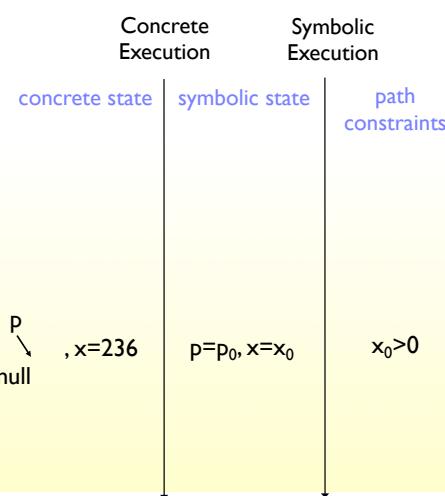
```

typedef struct cell {
    int v;
    struct cell *next;
} cell;

int f(int v) {
    return 2*v + 1;
}

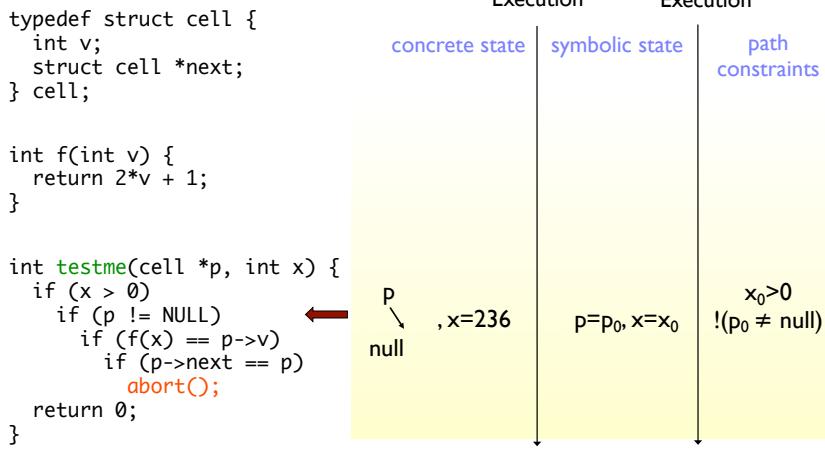
int testme(cell *p, int x) {
    if (x > 0)
        if (p != NULL)
            if (f(x) == p->v)
                if (p->next == p)
                    abort();
    return 0;
}

```

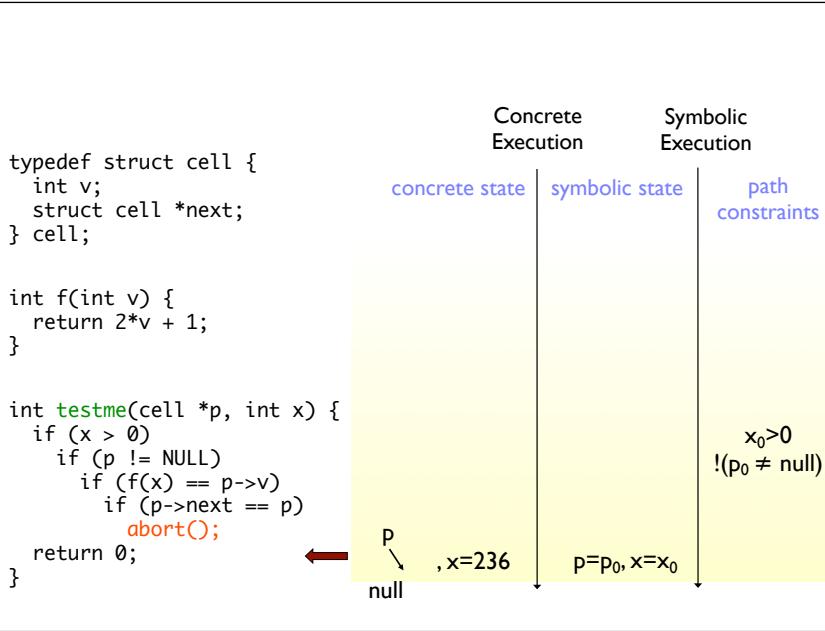


A new path condition is added in the first line of the function; the path condition is based on the symbolic state. If the concrete execution takes the true branch, then the path condition is added directly, else its negation is added.

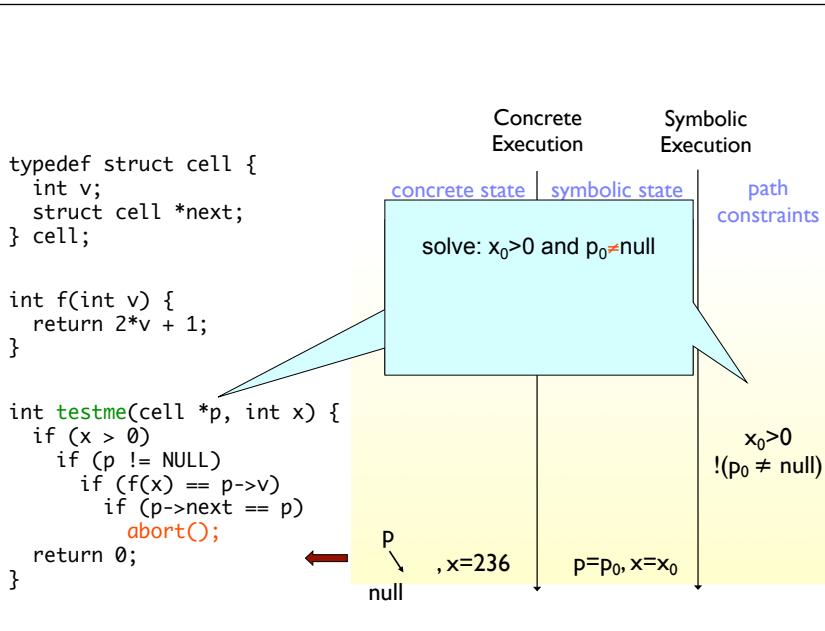
The second branch condition is false, so we add the negation to the path conditions.

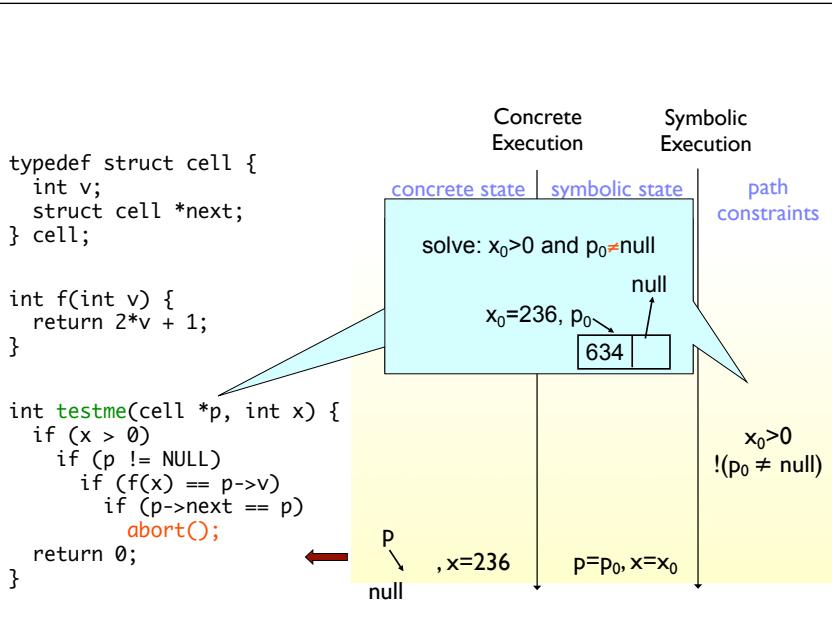


The else branch leads to the return statement.

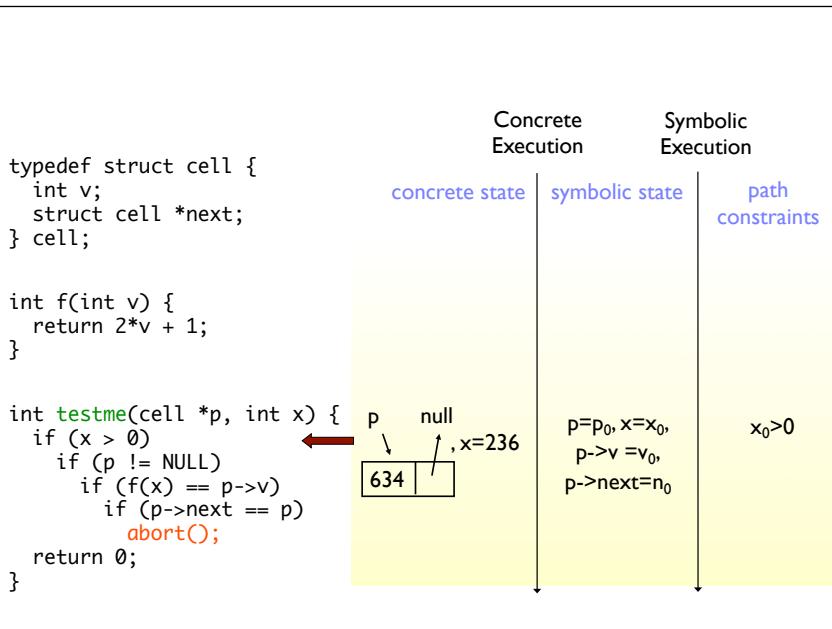
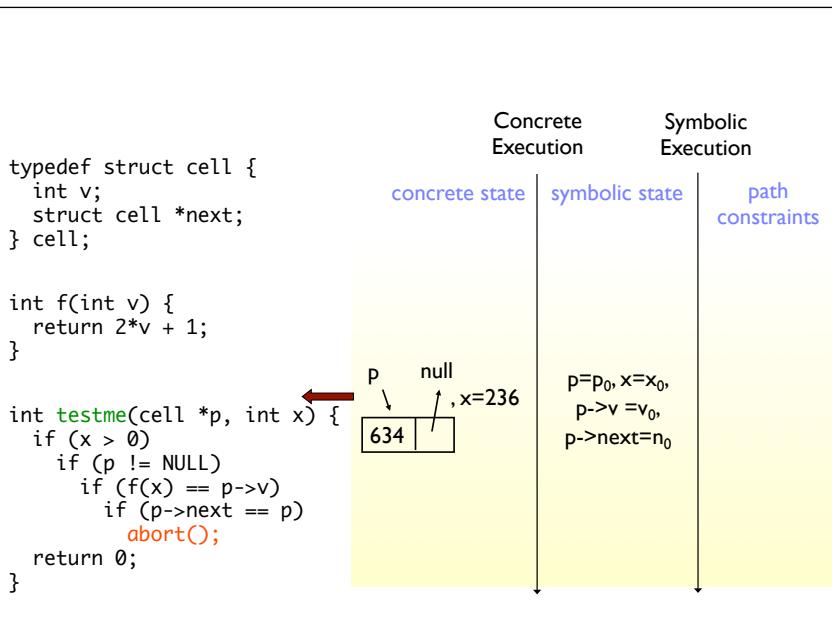


To explore a new path, we negate the last branch condition...



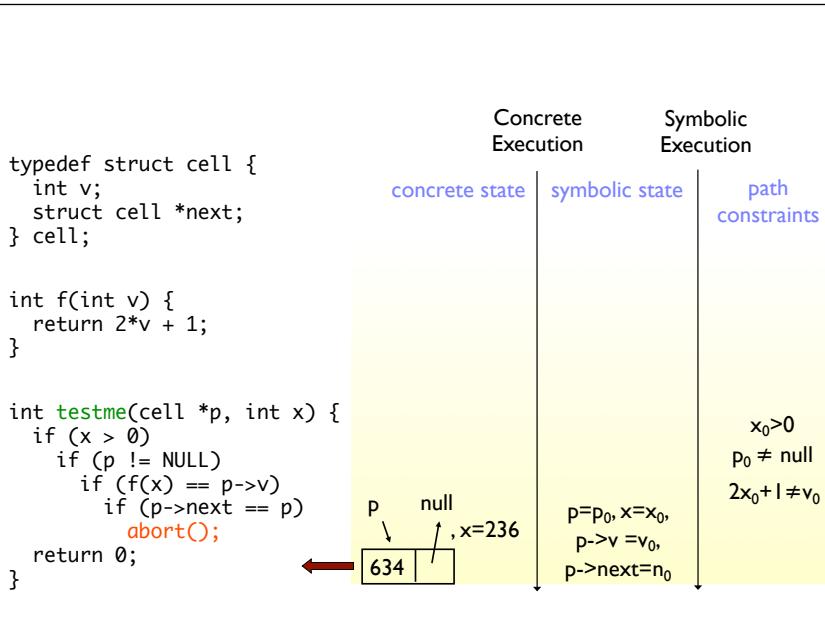
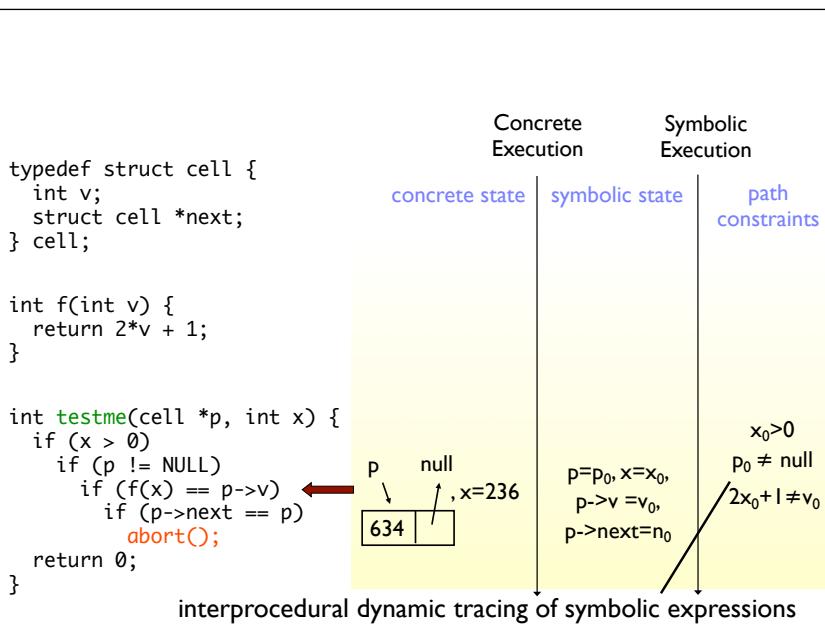
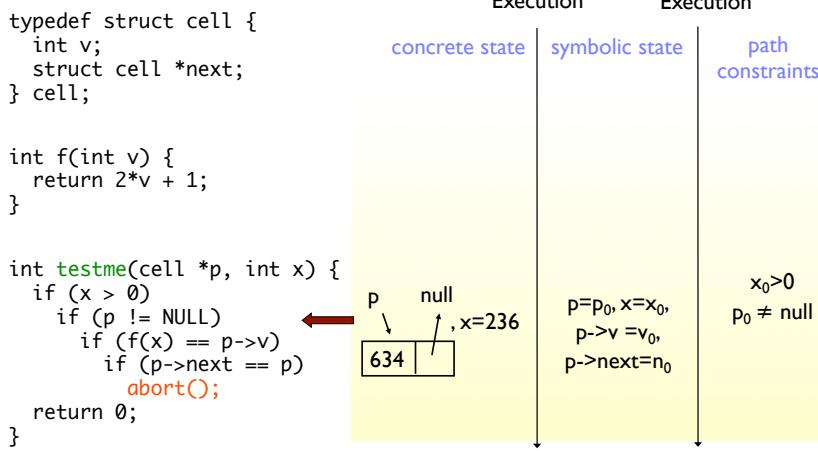


...and use a constraint solver to find a new solution. In this case we need to construct a new cell, for which the fields are initialized (pointers to null, ints randomly).

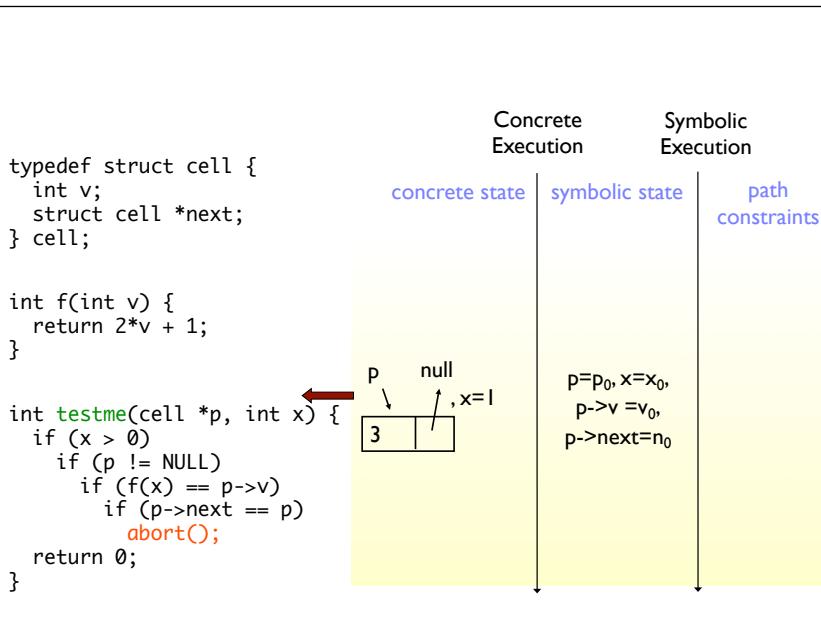
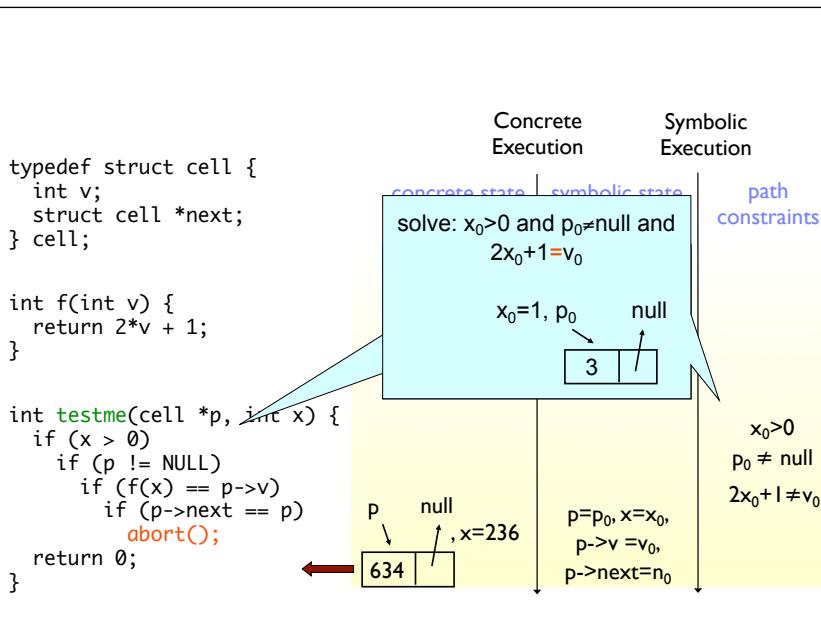
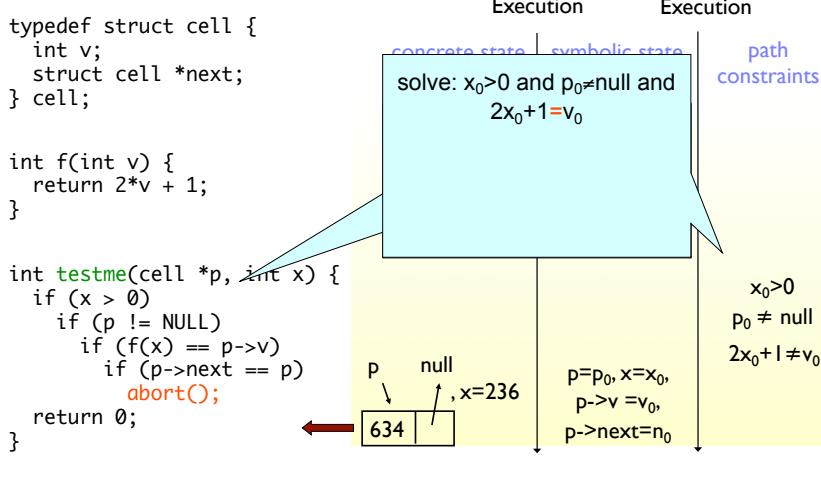


The first condition still holds with the new inputs (path condition is updated accordingly for this run).

This time, the second branch condition also is true, and we update the path condition accordingly.



Again we negate the last branch condition...



And solve it with a constraint solver, which tells us we need to set *x* to 1 and *p->v* to 3.

Test case is run with new values.

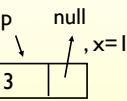
```
typedef struct cell {
    int v;
    struct cell *next;
} cell;
```

```
int f(int v) {
    return 2*v + 1;
}
```

```
int testme(cell *p, int x) {
    if (x > 0)
        if (p != NULL)
            if (f(x) == p->v)
                if (p->next == p)
                    abort();
    return 0;
}
```

Concrete Execution Symbolic Execution

concrete state symbolic state path constraints



$p=p_0, x=x_0,$
 $p->v=v_0,$
 $p->next=n_0$

$x_0 > 0$

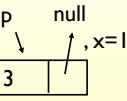
```
typedef struct cell {
    int v;
    struct cell *next;
} cell;
```

```
int f(int v) {
    return 2*v + 1;
}
```

```
int testme(cell *p, int x) {
    if (x > 0)
        if (p != NULL)
            if (f(x) == p->v)
                if (p->next == p)
                    abort();
    return 0;
}
```

Concrete Execution Symbolic Execution

concrete state symbolic state path constraints



$p=p_0, x=x_0,$
 $p->v=v_0,$
 $p->next=n_0$

$x_0 > 0$

$p_0 \neq \text{null}$

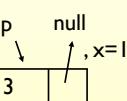
```
typedef struct cell {
    int v;
    struct cell *next;
} cell;
```

```
int f(int v) {
    return 2*v + 1;
}
```

```
int testme(cell *p, int x) {
    if (x > 0)
        if (p != NULL)
            if (f(x) == p->v)
                if (p->next == p)
                    abort();
    return 0;
}
```

Concrete Execution Symbolic Execution

concrete state symbolic state path constraints



$p=p_0, x=x_0,$
 $p->v=v_0,$
 $p->next=n_0$

$x_0 > 0$

$p_0 \neq \text{null}$

$2x_0 + 1 = v_0$

This time, the branch condition evaluates to true.

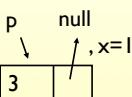
```
typedef struct cell {
    int v;
    struct cell *next;
} cell;
```

```
int f(int v) {
    return 2*v + 1;
}
```

```
int testme(cell *p, int x) {
    if (x > 0)
        if (p != NULL)
            if (f(x) == p->v)
                if (p->next == p)
                    abort();
    return 0;
}
```

Concrete Execution Symbolic Execution

concrete state symbolic state path constraints



$p=p_0, x=x_0,$
 $p->v=v_0,$
 $p->next=n_0$

$x_0 > 0$
 $p_0 \neq \text{null}$
 $2x_0 + 1 = v_0$
 $n_0 \neq p_0$

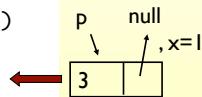
```
typedef struct cell {
    int v;
    struct cell *next;
} cell;
```

```
int f(int v) {
    return 2*v + 1;
}
```

```
int testme(cell *p, int x) {
    if (x > 0)
        if (p != NULL)
            if (f(x) == p->v)
                if (p->next == p)
                    abort();
    return 0;
}
```

Concrete Execution Symbolic Execution

concrete state symbolic state path constraints



$p=p_0, x=x_0,$
 $p->v=v_0,$
 $p->next=n_0$

$x_0 > 0$
 $p_0 \neq \text{null}$
 $2x_0 + 1 \neq v_0$
 $n_0 \neq p_0$

```
typedef struct cell {
    int v;
    struct cell *next;
} cell;
```

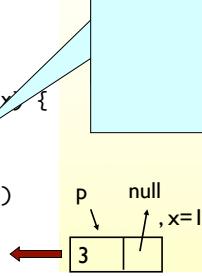
```
int f(int v) {
    return 2*v + 1;
}
```

```
int testme(cell *p, int x) {
    if (x > 0)
        if (p != NULL)
            if (f(x) == p->v)
                if (p->next == p)
                    abort();
    return 0;
}
```

Concrete Execution Symbolic Execution

concrete state symbolic state path constraints

solve: $x_0 > 0$ and $p_0 \neq \text{NULL}$
 $2x_0 + 1 = v_0$ and $n_0 = p_0$

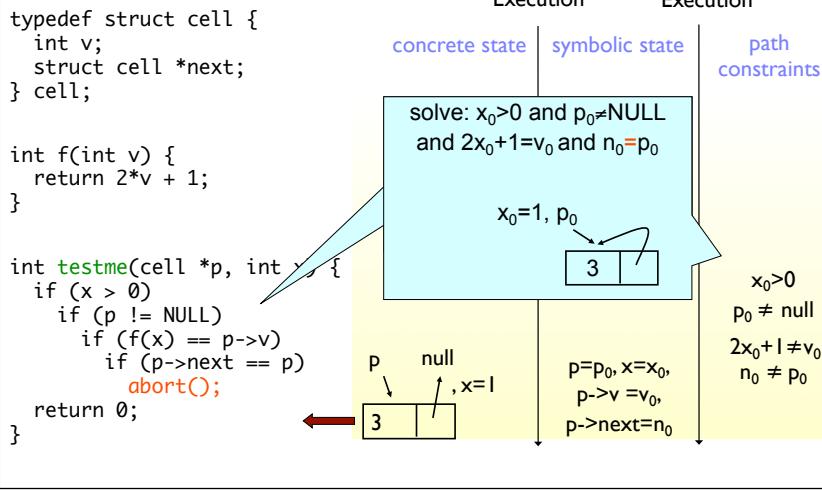


$x_0 > 0$
 $p_0 \neq \text{null}$
 $2x_0 + 1 \neq v_0$
 $n_0 \neq p_0$

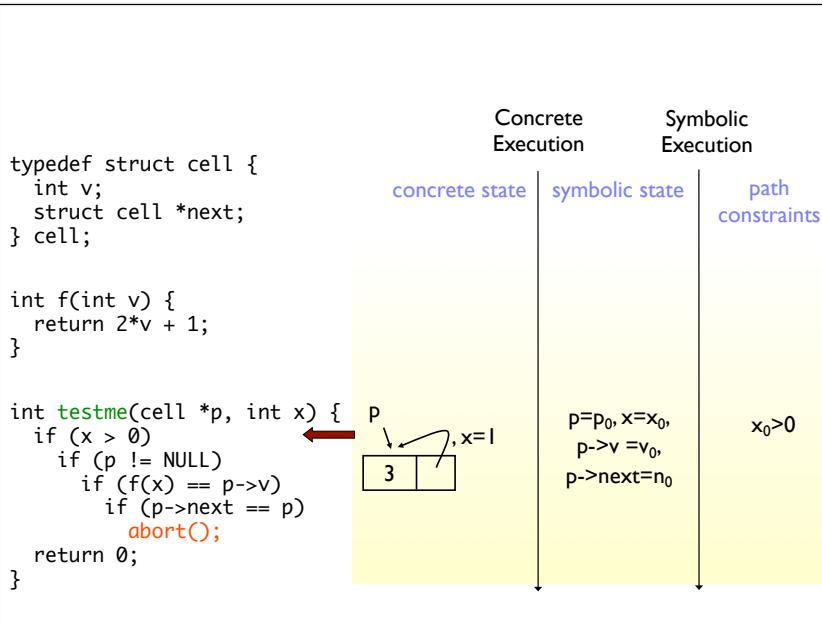
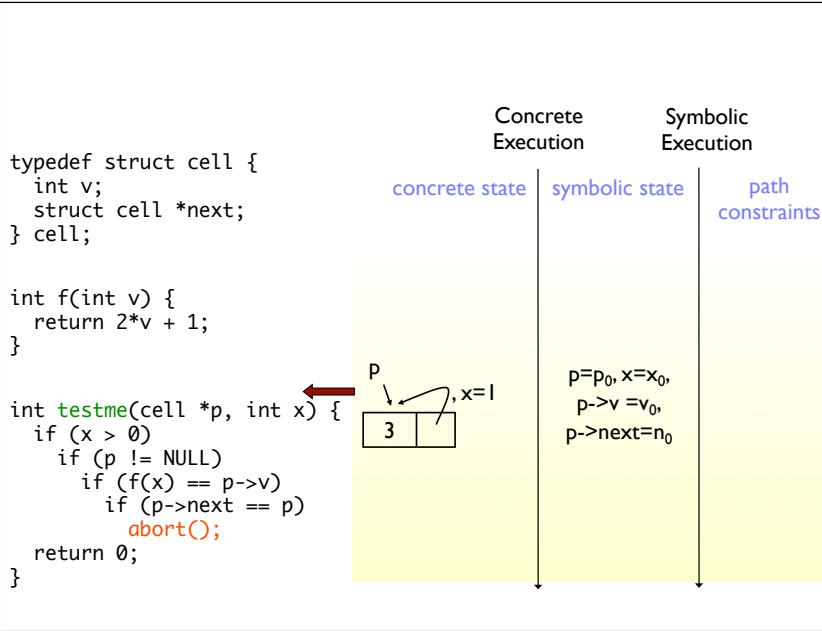
The last branch is false, as $p->\text{next}$ is null, so we add the negated branch condition to the constraints.

Once more, we negate and solve.

The constraint solver tells us $p \rightarrow \text{next}$ should point to p .



New run with new inputs...



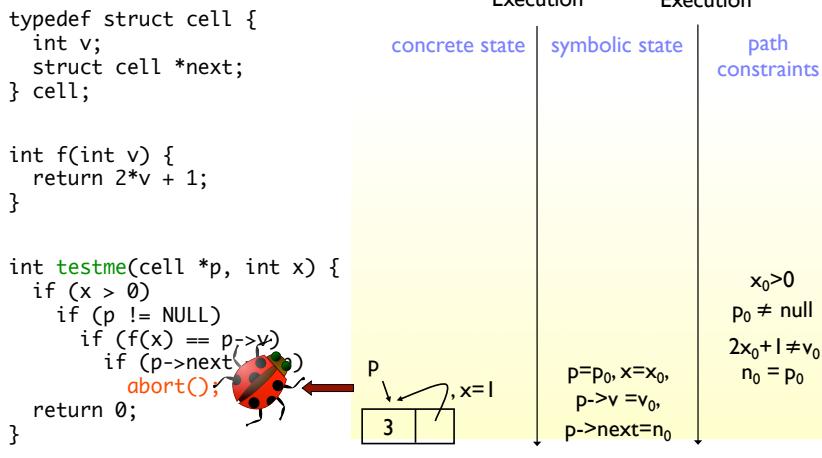
	Concrete Execution	Symbolic Execution	
	concrete state	symbolic state	path constraints
typedef struct cell { int v; struct cell *next; } cell;			
int f(int v) { return 2*v + 1; }			
int testme(cell *p, int x) { if (x > 0) if (p != NULL) if (f(x) == p->v) if (p->next == p) abort(); return 0; }	<p>P ↓ 3 7</p>	$p=p_0, x=x_0,$ $p->v=v_0,$ $p->next=n_0$	$x_0 > 0$ $p_0 \neq \text{null}$

	Concrete Execution	Symbolic Execution	
	concrete state	symbolic state	path constraints
typedef struct cell { int v; struct cell *next; } cell;			
int f(int v) { return 2*v + 1; }			
int testme(cell *p, int x) { if (x > 0) if (p != NULL) if (f(x) == p->v) if (p->next == p) abort(); return 0; }	<p>P ↓ 3 7</p>	$p=p_0, x=x_0,$ $p->v=v_0,$ $p->next=n_0$	$x_0 > 0$ $p_0 \neq \text{null}$ $2x_0 + 1 = v_0$

	Concrete Execution	Symbolic Execution	
	concrete state	symbolic state	path constraints
typedef struct cell { int v; struct cell *next; } cell;			
int f(int v) { return 2*v + 1; }			
int testme(cell *p, int x) { if (x > 0) if (p != NULL) if (f(x) == p->v) if (p->next == p) abort(); return 0; }	<p>P ↓ 3 7</p>	$p=p_0, x=x_0,$ $p->v=v_0,$ $p->next=n_0$	$x_0 > 0$ $p_0 \neq \text{null}$ $2x_0 + 1 = v_0$ $n_0 = p_0$

This time, the last branch evaluates to true.

Finally, the desired statement is reached.



Simultaneous Symbolic & Concrete Execution

```

void again_test_me(int x,int y){
    z = x*x*x + 3*x*x + 9;
    if(z != y){
        printf("Good branch");
    } else {
        printf("Bad branch");
        abort();
    }
}

```

- Let initially $x = -3$ and $y = 7$ generated by random test-driver

117

Simultaneous Symbolic & Concrete Execution

```

void again_test_me(int x,int y){
    z = x*x*x + 3*x*x + 9;
    if(z != y){
        printf("Good branch");
    } else {
        printf("Bad branch");
        abort();
    }
}

```

- Let initially $x = -3$ and $y = 7$ generated by random test-driver
- concrete $z = 9$
- symbolic $z = x*x*x + 3*x*x+9$
- take then branch with constraint $x*x*x + 3*x*x+9 \neq y$

118

Simultaneous Symbolic & Concrete Execution

```
void again_test_me(int x,int y){  
    z = x*x*x + 3*x*x + 9;  
    if(z != y){  
        printf("Good branch");  
    } else {  
        printf("Bad branch");  
        abort();  
    }  
}
```

- Let initially $x = -3$ and $y = 7$ generated by random test-driver
- concrete $z = 9$
- symbolic $z = x*x*x + 3*x*x+9$
- take then branch with constraint $x*x*x+ 3*x*x+9 \neq y$
- solve $x*x*x+ 3*x*x+9 = y$ to take else branch
- Don't know how to solve !!

□ Stuck ?

119

Simultaneous Symbolic & Concrete Execution

```
void again_test_me(int x,int y){  
    z = x*x*x + 3*x*x + 9;  
    if(z != y){  
        printf("Good branch");  
    } else {  
        printf("Bad branch");  
        abort();  
    }  
}
```

- Let initially $x = -3$ and $y = 7$ generated by random test-driver
- concrete $z = 9$
- symbolic $z = x*x*x + 3*x*x+9$
- take then branch with constraint $x*x*x+ 3*x*x+9 \neq y$
- solve $x*x*x+ 3*x*x+9 = y$ to take else branch
- Don't know how to solve this

□ Stuck ?

□ Use concrete values!

120

Simultaneous Symbolic & Concrete Execution

```
void again_test_me(int x,int y){  
    z = x*x*x + 3*x*x + 9;  
    if(z != y){  
        printf("Good branch");  
    } else {  
        printf("Bad branch");  
        abort();  
    }  
}
```

- Let initially $x = -3$ and $y = 7$ generated by random test-driver

121

Simultaneous Symbolic & Concrete Execution

```
void again_test_me(int x,int y){  
    z = x*x*x + 3*x*x + 9;  
    if(z != y){  
        printf("Good branch");  
    } else {  
        printf("Bad branch");  
        abort();  
    }  
}
```

- Let initially $x = -3$ and $y = 7$ generated by random test-driver
- concrete $z = 9$
- symbolic $z = x*x*x + 3*x*x+9$
 - cannot handle symbolic value of z

122

Simultaneous Symbolic & Concrete Execution

```
void again_test_me(int x,int y){  
    z = x*x*x + 3*x*x + 9;  
    if(z != y){  
        printf("Good branch");  
    } else {  
        printf("Bad branch");  
        abort();  
    }  
}
```

- Let initially $x = -3$ and $y = 7$ generated by random test-driver
- concrete $z = 9$
- symbolic $z = x*x*x + 3*x*x+9$
 - cannot handle symbolic value of z
 - make symbolic $z = 9$ and proceed

123

Simultaneous Symbolic & Concrete Execution

```
void again_test_me(int x,int y){  
    z = x*x*x + 3*x*x + 9;  
    if(z != y){  
        printf("Good branch");  
    } else {  
        printf("Bad branch");  
        abort();  
    }  
}
```

- Let initially $x = -3$ and $y = 7$ generated by random test-driver
- concrete $z = 9$
- symbolic $z = x*x*x + 3*x*x+9$
 - cannot handle symbolic value of z
 - make symbolic $z = 9$ and proceed
- take then branch with constraint $9 \neq y$

124

Simultaneous Symbolic & Concrete Execution

```
void again_test_me(int x,int y){  
    z = x*x*x + 3*x*x + 9;  
    if(z != y){  
        printf("Good branch");  
    } else {  
        printf("Bad branch");  
        abort();  
    }  
}
```

- Let initially $x = -3$ and $y = 7$ generated by random test-driver
- concrete $z = 9$
- symbolic $z = x*x*x + 3*x*x+9$
 - cannot handle symbolic value of z
 - make symbolic $z = 9$ and proceed
- take then branch with constraint $9 \neq y$
- solve $9 = y$ to take else branch
- execute next run with $x = -3$ and $y= 9$
 - got error (reaches abort)

125

Simultaneous Symbolic & Concrete Execution

```
void again_test_me(int x,int y){  
    z = x*x*x + 3*x*x + 9;  
    if(z != y){  
        printf("Good branch");  
    } else {  
        printf("Bad branch");  
    }  
}
```

Replace symbolic expression by concrete value when symbolic expression becomes unmanageable (i.e. non-linear)

- Let initially $x = -3$ and $y = 7$ generated by random test-driver
- concrete $z = 9$
- symbolic $z = x*x*x + 3*x*x+9$
 - cannot handle symbolic value of z
 - make symbolic $z = 9$ and proceed
- take then branch with constraint $9 \neq y$
- solve $9 = y$ to take else branch
- execute next run with $x = -3$ and $y= 9$
 - got error (reaches abort)

126

Simultaneous Symbolic & Concrete Execution

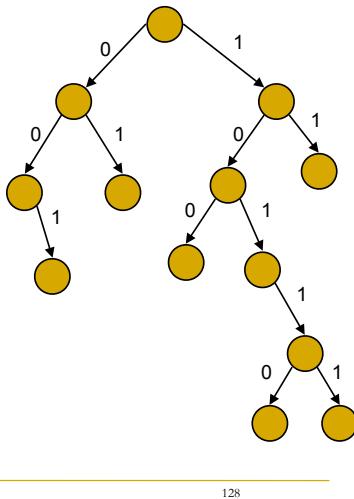
```
void again_test_me(int x,int y){  
    z = x*x*x + 3*x*x + 9;  
    if(z != y){  
        printf("Good branch");  
    } else {  
        printf("Bad branch");  
        abort();  
    }  
}
```

```
void again_test_me(int x,int y){  
    z = black_box_fun(x);  
    if(z != y){  
        printf("Good branch");  
    } else {  
        printf("Bad branch");  
        abort();  
    }  
}
```

127

Explicit Path (not State) Model Checking

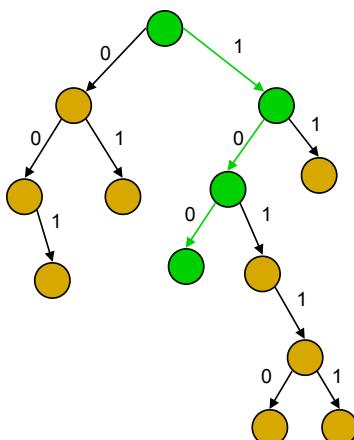
- Traverse all execution paths one by one to detect errors
 - check for assertion violations
 - check for program crash
 - combine with valgrind to discover memory leaks
 - detect invariants



128

Explicit Path (not State) Model Checking

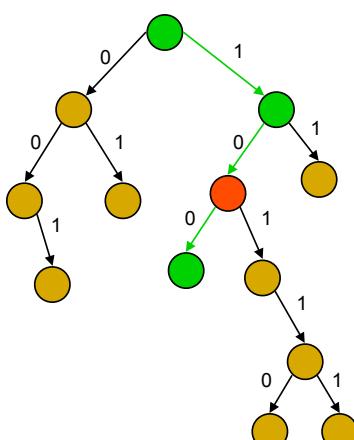
- Traverse all execution paths one by one to detect errors
 - check for assertion violations
 - check for program crash
 - combine with valgrind to discover memory leaks
 - detect invariants



129

Explicit Path (not State) Model Checking

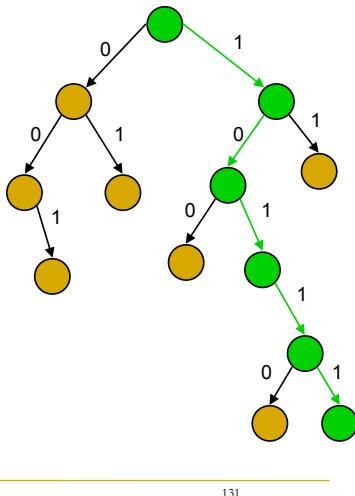
- Traverse all execution paths one by one to detect errors
 - check for assertion violations
 - check for program crash
 - combine with valgrind to discover memory leaks
 - detect invariants



130

Explicit Path (not State) Model Checking

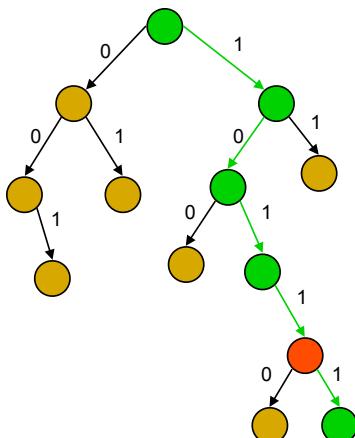
- Traverse all execution paths one by one to detect errors
 - check for assertion violations
 - check for program crash
 - combine with valgrind to discover memory leaks
 - detect invariants



131

Explicit Path (not State) Model Checking

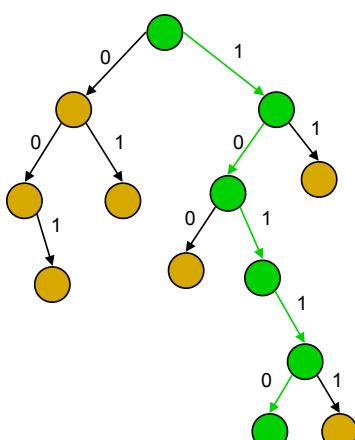
- Traverse all execution paths one by one to detect errors
 - check for assertion violations
 - check for program crash
 - combine with valgrind to discover memory leaks
 - detect invariants



132

Explicit Path (not State) Model Checking

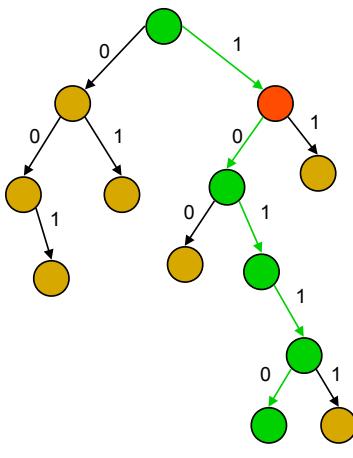
- Traverse all execution paths one by one to detect errors
 - check for assertion violations
 - check for program crash
 - combine with valgrind to discover memory leaks
 - detect invariants



133

Explicit Path (not State) Model Checking

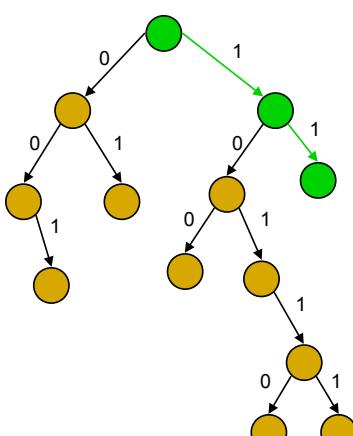
- Traverse all execution paths one by one to detect errors
 - check for assertion violations
 - check for program crash
 - combine with valgrind to discover memory leaks
 - detect invariants



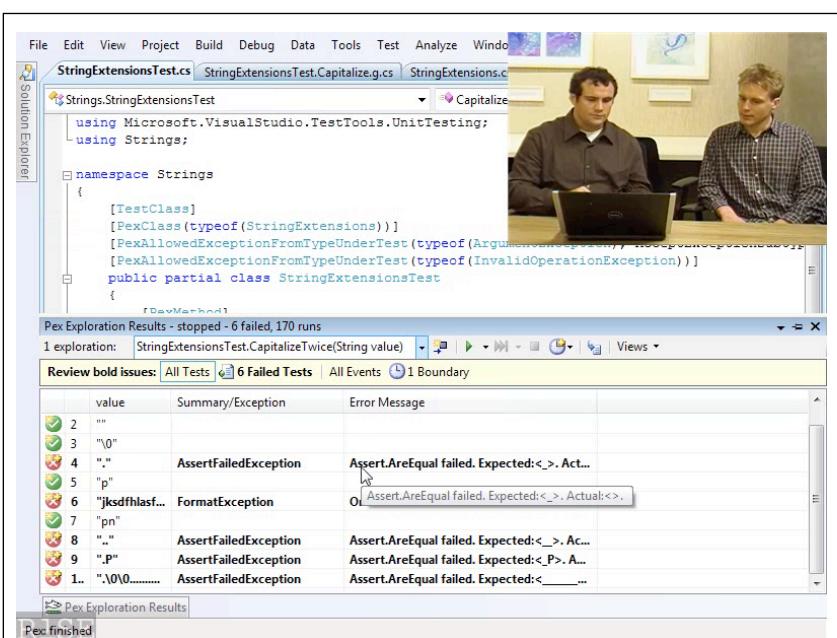
134

Explicit Path (not State) Model Checking

- Traverse all execution paths one by one to detect errors
 - check for assertion violations
 - check for program crash
 - combine with valgrind to discover memory leaks
 - detect invariants



135



In this video, the Pex developers demonstrate Pex in action. The video is available at <http://research.microsoft.com/en-us/projects/pex/> - the website also contains a video that explains dynamic symbolic execution.

Theory and Practice



Automated Test Data Generation for Coverage: Have We Solved This Problem?



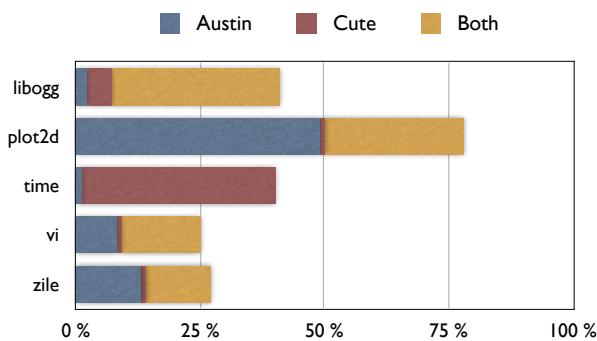
An Experiment

- 2009 study by Lakhotia, McMinn, Harman
- Cute
Concolic testing tool
- Austin
Search based testing tool

Subjects

Subject	LOC	Branches
libogg	2,552	290
plot2d	6,062	1,524
time	5,503	202
vi	81,572	8,950
zile	73,472	3,630

Covered Branches



What's the problem?

- Search strategy
Avoid getting stuck in loops
- Setting up complex data
- Strings
- Environment
- Complex constraints
- Fitness landscape
- Number of iterations in loops must be selected prior to any symbolic execution
- Arrays
- Symbolic execution constrains the shape of dynamically allocated objects
- Non-feasible paths and symbolic execution problems
- Handling loops (manual vs automatic path selection)

Constraint-based Testing

